

Please type a plus sign (+) inside this box ☐

02/28/02

A

UTILITY
PATENT APPLICATION
TRANSMITTAL

(only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

CRD-0992

First Inventor

Gregg S. Sutton and Jeffrey Welch

Title

VASCULAR FILTER SYSTEM FOR CAROTID
ENDARTERECTOMY

Express Mail Label No.

EV 065839920 US

APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

See MPEP Chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.

3. ☒ Specification [Total Pages 17]

(Preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets 6]

5. Oath or Declaration [Total Pages 6]

a. ☒ Newly executed (1 complete set for each inventor)

b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. ☐ Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement

(IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)

(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)

(if foreign priority is claimed)

16. ☐ Request and Certifications under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.

17. ☒ Other Petition For Revival of an Application for
Patent Abandoned Unintentionally Under 37
CFR 1.137(b)

18. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP) of prior application No.: 09/365,146, filed July 30, 1999.

Prior application information: Examiner M. Thaler Group Art Unit: 3731

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **000027777** or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.

Address: Johnson & Johnson

One Johnson & Johnson Plaza

New Brunswick, NJ 08933-7003 USA

20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to Carl J. Evens at:

Telephone: (732) 524-2518

Fax: (732) 524-2808

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME

Carl J. Evens

Reg. No. 33874

SIGNATURE

Carl Evens

DATE

February 26, 2002

FEE TRANSMITTAL*Complete if Known*

| | |
|------------------------|------------------------|
| Application Number | To Be Determined |
| Filing Date | February 26, 2002 |
| First Named Inventor | Gregg S. Sutton et al. |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | CRD-0992 |

FEE CALCULATION

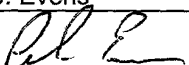
CLAIMS AS FILED

| (1) | (2) | (3) | (4) | (5) |
|---------------------------|--------------------------|--------------|------------|-----------------------|
| FOR: | NUMBER FILED | NUMBER EXTRA | RATE | BASIC FEE \$740.00 |
| TOTAL CLAIMS | 3 - 20 = | 0 | x 18.00 | \$ 0.00 |
| INDEPENDENT CLAIMS | 1 - 3 = | 0 | x 84.00 | \$ 0.00 |
| MULTIPLE DEPENDENT CLAIMS | <input type="checkbox"/> | N/A | \$280.00 | |
| | | | TOTAL FEES | \$ 740.00 |

METHOD OF PAYMENT

☒ Please charge Deposit Account No. 10-0750/CRD-0992/CJE in the amount of \$740.00.
Three copies of this sheet are enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/CRD-0992/CJE. Three copies of this sheet are enclosed.

| | | |
|-----------------------|---|------------------------------------|
| SUBMITTED BY: | | <i>Complete (if applicable)</i> |
| Typed or Printed Name | Carl J. Evens | Reg. No. 33,874 |
| Signature |  | Deposit Account No. 10-0750 |
| | Date: 2/26/02 | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gregg S. Sutton and Jeffrey Welch

For : VASCULAR FILTER SYSTEM FOR CAROTID ENDARTERECTOMY

Express Mail Certificate

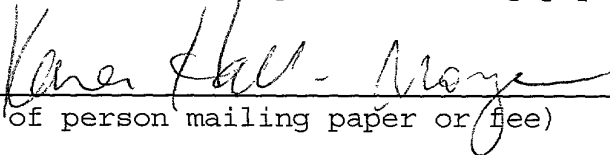
"Express Mail" mailing number: EV 065839920 US

Date of Deposit: February 26, 2002

I hereby certify that this complete application, including utility patent application and fee transmittal pages, specification pages, claims, formal drawings, executed Declaration and Power of Attorney, executed Assignment with Recordal Cover Sheet and Petition For Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR 1.137(b), is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Karen Hall-Morgan

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)